

# Subcode supports in matroid theory

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# Outline

Introduction on codes and supports

Links with matroid theory

- Weight enumerator and Tutte polynomial

- Minimal codewords and cocircuits

Higher dimensional coding theory

- Generalized weight enumerator

- Minimal subcodes

Summary

# Codes and supports

- Linear  $[n, k]$  code** Linear subspace  $C \subseteq \mathbb{F}_q^n$  of dimension  $k$ .  
Generator matrix  $G$ , parity check matrix  $H$ .
- Support** The coordinates of a word that are nonzero.
- Weight** The number of nonzero coordinates of a word,  
i.e. the size of the support.

# Codes and supports

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**Support** The coordinates of a word that are nonzero.

**Weight** The number of nonzero coordinates of a word,  
i.e. the size of the support.

## Weight enumerator

The homogeneous polynomial counting the number of words of a given weight, notation:

$$W_C(X, Y) = \sum_{w=0}^n A_w X^{n-w} Y^w.$$

# Codes and supports

## Minimal codeword

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## Example

The  $[7, 4]$  Hamming code over  $\mathbb{F}_2$  has weight enumerator

$$W_C(X, Y) = X^7 + 7X^4Y^3 + 7X^3Y^4 + Y^7.$$

All words of weight 3 and 4 are minimal.

# Matroids

## Matroid

A matroid is a pair  $(M, \mathcal{I})$  where  $M$  is a finite set and  $\mathcal{I}$  is a collection of subsets of  $M$  called the *independent sets*, satisfying the following properties:

1. The empty set is independent.
2. Every subset of an independent set is independent.
3. Let  $A, B$  be independent sets with  $|A| > |B|$ . Then there exists an  $a \in A$  with  $a \notin B$  and  $B \cup \{a\}$  an independent set.

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$M(C)$  is the matroid represented by a generator matrix of  $C$ .

- Independent of the choice of  $G$ .
- The elements of  $M(C)$  are the columns of  $G$ .



# Matroids

## Tutte polynomial

The Tutte polynomial is defined by

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## Example

The matroid associated to the binary  $[7, 4]$  Hamming code has Tutte polynomial

$$T_M(X, Y) = X^4 + 3X^3 + Y^3 + 6X^2 + 7XY + 4Y^2 + 3X + 3Y.$$

## Weight enumerator and Tutte polynomial

### Theorem

*The Tutte polynomial of a matroid defines the weight enumerator of the associated code via*

$$W_C(X, Y) = (X - Y)^k Y^{n-k} T_{M(C)} \left( \frac{X + (q-1)Y}{X - Y}, \frac{X}{Y} \right).$$

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- Gives a nice proof of the MacWilliams relations, because  $T_M(X, Y) = T_{M^*}(Y, X)$ .
- Opposite is *not* true. Counterexample: codes with the same generator matrix over  $\mathbb{F}_q$  and  $\mathbb{F}_{q^2}$ .

## Minimal codewords and cocircuits

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*The supports of the minimal codewords of  $C$  are exactly the cocircuits of  $M(C)$ .*

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Two equivalent statements follow:

- *Coding-way:* Every word  $\mathbf{c}$  is a linear combination of minimal codewords whose support is a subset of the support of  $\mathbf{c}$ .
- *Matroid-way:* Every dependent set is the union of cocircuits.

# Higher dimensional coding theory

- Every codeword spans a 1-dimensional subcode.
- Idea: replace words by subcodes of a given dimension.
- Extend definitions to subcodes:

**Support** Union of the supports of all words in the subcode, i.e. all coordinates which are not always zero.

**Weight** The size of the support.

# Higher dimensional coding theory

## Generalized weight enumerators

The homogeneous polynomials counting for each dimension  $r = 0, \dots, k$  the number of subcodes of a given weight, notation:

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## Minimal subcode

The support of the subcode is minimal among subcodes of the same dimension.

# Higher dimensional coding theory

## Example

The  $[7, 4]$  Hamming code has generalized weight enumerators

$$W_C^0(X, Y) = X^7$$

$$W_C^1(X, Y) = 7X^4Y^3 + 7X^3Y^4 + Y^7$$

$$W_C^2(X, Y) = 21X^2Y^5 + 7XY^6 + 7Y^7$$

$$W_C^3(X, Y) = 7XY^6 + 8Y^7$$

$$W_C^4(X, Y) = Y^7$$

All subcodes of minimal weight are minimal, plus the 1-dimensional subcodes of weight 4.

# Generalized weight enumerator

For all subsets  $J \subseteq [n]$  define

$$C(J) = \{\mathbf{c} \in C : c_j = 0 \text{ for all } j \in J\}$$

$$l(J) = \dim C(J)$$

$$B_J^r = |\{D \subseteq C(J) : D \text{ subspace, } \dim D = r\}|$$

$$B_t^r = \sum_{|J|=t} B_J^r$$

Note:  $C(J)$  is equivalent to the code  $C$  shortened on  $J$ , and

$$B_J^r(J) = \left[ \begin{matrix} l(J) \\ r \end{matrix} \right]_q.$$

# Generalized weight enumerator

## Lemma

$$B_t^r = \sum_{w=0}^n \binom{n-w}{t} A_w^r.$$

Proof: count the following set in two ways.

$$\{(D, J) : J \subseteq [n], |J| = t, D \subseteq C(J) \text{ subspace, } \dim D = r\}$$

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## Theorem

*The generalized weight enumerator is given by*

$$W_C^r(X, Y) = \sum_{t=0}^n B_t^r(X - Y)^t Y^{n-t}.$$

# Generalized weight enumerator and Tutte polynomial

## Lemma

We have  $l(J) = k - r(J)$  with  $r$  the rank function of the associated matroid.

Proof: Let  $C_J$  be the code  $C$  with all positions not in  $J$  replaced by zero's (i.e.  $C$  punctured on  $[n] - J$ ). Then  $C_J = C/C(J)$ .

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## Theorem

*The Tutte polynomial of a matroid associated to a code is*

$$T_{M(C)}(X, Y) = \sum_{t=0}^n \sum_{|J|=t} (X - 1)^{l(J)} (Y - 1)^{l(J) - (k-t)}.$$

# Generalized weight enumerator and Tutte polynomial

The weight enumerator does not define the Tutte polynomial, but the generalized weight enumerators do:

## Theorem

*The Tutte polynomial associated to a code is completely determined by the generalized weight enumerators of the code and vice versa.*

The proof is rewriting. Least ugly formula:  $T_{M(C)}(X, Y)$  is equal to

$$Y^n(Y-1)^{-k} \sum_{r=0}^k \left( \prod_{j=0}^{r-1} ((X-1)(Y-1) - q^j) \right) W_C^r(1, Y^{-1}).$$



# Minimal subcodes in matroid theory

- The supports of minimal codewords are cocircuits of the associated matroid.
- Are the supports of minimal subcodes the cocircuits of some matroid?

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- Are the supports of minimal subcodes the cocircuits of some matroid?
- Yes, they are.

### $r$ -th truncated matroid

The matroid  $T^r(M)$  defined by the rank function

$$r_{T^r(M)}(A) = \min\{r_M(A), r(M) - r\}.$$

We get this matroid by *truncating*  $r$  times.

## Minimal subcodes in matroid theory

### Theorem

*The supports of the  $r$ -dimensional minimal subcodes of a code are exactly the cocircuits of the  $(r - 1)$ -th truncation of the matroid associated to the code.*

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Proof possible with this lemma:

## Lemma

Every support of an  $r$ -dimensional subcode is a union of cocircuits of  $T^{r-1}(M(C))$ .

# Minimal subcodes in matroid theory

Why the truncated matroid?

- To find supports of minimal codewords, we fix  $l(J) = 1$  and minimize the support of  $C(J)$  w.r.t. inclusion.

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- In complement, we maximize  $J$  w.r.t. inclusion.
- In matroid-terms: we maximize  $J$  for  $r(J) = k - l(J) = k - 1$ .
- $J$  is a hyperplane.
- The complement of  $J$ , i.e. the support of  $C(J)$ , is a cocircuit.
- To generalize for fixed  $l(J) = r$ , the closed sets of rank  $k - r$  should be hyperplanes.
- This gives the  $(r - 1)$ -th truncated matroid.

# Summary

- Two properties of codes: weight enumerator, minimal codewords
- Links with matroid theory
- Generalization from words to subcodes
- Determination of generalized weight enumerators:  $l(J)$  and  $B_t^r$
- Generalized weight enumerator and Tutte polynomial: two-way equivalence
- Supports of minimal subcodes are cocircuits of the truncated matroid