

# The $q$ -analogue of matroids and their Tutte polynomial

Relinde Jurrius

(joint work with Guus Bollen, Henry Crapo,  
Ruud Pellikaan, Michele Torielli)

Université de Neuchâtel, Switzerland  
(→ The Netherlands Defence Academy)

InterCity seminar  
November 3, 2017

# $q$ -Analogues

Finite set  $\longrightarrow$  finite dimensional vectorspace over  $\mathbb{F}_q$

Example

$\binom{n}{k}$  = number of sets of size  $k$  contained in set of size  $n$

$\left[ \begin{matrix} n \\ k \end{matrix} \right]_q$  = number of  $k$ -dim subspaces of  $n$ -dim vectorspace over  $\mathbb{F}_q$

$$= \prod_{i=0}^{k-1} \frac{q^n - q^i}{q^k - q^i}$$

# $q$ -Analogues

finite set	finite space $\mathbb{F}_q^n$
element	1-dim subspace
size	dimension
$n$	$\frac{q^n - 1}{q - 1}$
intersection	intersection
union	sum
complement	it depends

From  $q$ -analogue to 'normal': let  $q \rightarrow 1$ .

Candidates for complement  $A^c$  of  $A \subseteq \mathbb{F}_q^n$ :

- ▶ All vectors outside  $A$   
But: not a space
- ▶ Orthogonal complement  
But:  $A \cap A^\perp$  can be nontrivial
- ▶ Quotient space  $\mathbb{F}_q^n/A$   
But: changes ambient space
- ▶ Subspace such that  $A \oplus A^c = \mathbb{F}_q^n$   
But: not unique

**Matroid:** a pair  $(E, \mathcal{I})$  with

- ▶  $E$  finite set;
- ▶  $\mathcal{I} \subseteq 2^E$  family of subsets of  $E$ , the *independent sets*, with:
  - (I1)  $\emptyset \in \mathcal{I}$
  - (I2) If  $A \in \mathcal{I}$  and  $B \subseteq A$  then  $B \in \mathcal{I}$ .
  - (I3) If  $A, B \in \mathcal{I}$  and  $|A| > |B|$  then there is an  $a \in A \setminus B$  such that  $B \cup \{a\} \in \mathcal{I}$ .

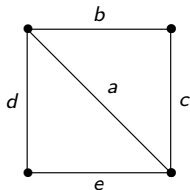
Examples:

- ▶ Set of vectors; independence = linear independence
- ▶ Set of edges of a graph; independence = cycle free

Example

$$\begin{pmatrix} 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 \end{pmatrix}$$

Example



But: most matroids don't come from a matrix or graph.

A matroid is also a pair  $(E, r)$  with

- ▶  $E$  finite set;
- ▶  $r : 2^E \rightarrow \mathbb{N}_0$  a function, the *rank function*, with for all  $A, B \in E$ :
  - (r1)  $0 \leq r(A) \leq |A|$
  - (r2) If  $A \subseteq B$  then  $r(A) \leq r(B)$ .
  - (r3)  $r(A \cup B) + r(A \cap B) \leq r(A) + r(B)$  (semimodular)

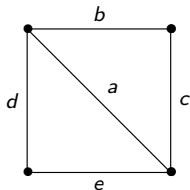
$r(A)$  = size of largest independent set contained in  $A$

$\mathcal{I} = \{\text{subsets whose size is equal to their rank}\}$

Example

$$\begin{pmatrix} 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 \end{pmatrix}$$

Example





Basis: maximal independent set wrt inclusion

All bases have the same size.

Loop: element that is in no independent set (i.e.,  $r(x) = 0$ )

Rank of  $M$ : rank of the ground set  $E$

Representable: matroid that comes from a matrix

**q-Matroid:** a pair  $(E, r)$  with

- ▶  $E$  finite dimensional vector space;
- ▶  $r : \{\text{subspaces of } E\} \rightarrow \mathbb{N}_0$  a function, the *rank function*, with for all  $A, B \subseteq E$ :
  - (r1)  $0 \leq r(A) \leq \dim A$
  - (r2) If  $A \subseteq B$  then  $r(A) \leq r(B)$ .
  - (r3)  $r(A + B) + r(A \cap B) \leq r(A) + r(B)$  (semimodular)

Theorem (J. & Pellikaan, 2016)

*Every  $\mathbb{F}_{q^m}$ -linear rank metric code gives a  $q$ -matroid.*

Proof.

Let  $E = \mathbb{F}_q^n$  and  $G$  be a generator matrix of the code.

Let  $A \subseteq E$  and  $Y$  a matrix whose columns span  $A$ .

$$\boxed{G} \quad \boxed{Y} = \boxed{GY}$$

Then  $r(A) = \text{rk}(GY)$  satisfies the axioms (r1),(r2),(r3). □

## Lemma

*Matrix representation is equivalent under*

- ▶ *row operations over  $\mathbb{F}_{q^m}$ ;*
- ▶ *column operations over  $\mathbb{F}_q$ .*

We call a  $q$ -matroid that comes from a code *representable*.

Conjecture (J. & Torielli, 2017)

All  $q$ -matroids are representable.

That means: a  $q$ -matroid over  $E = \mathbb{F}_q^n$  of rank  $k$  can be represented by a  $k \times n$  matrix over a suitably large extension field  $\mathbb{F}_{q^m}$ .

Motivating evidence:

- ▶ uniform matroids are representable;
- ▶ the matrix has entries in an extension field.

A  $q$ -matroid could also be a pair  $(E, \mathcal{I})$  with

- ▶  $E$  finite dimensional vector space;
- ▶  $\mathcal{I}$  family of subspaces of  $E$ , the *independent spaces*, with:
  - (I1)  $\mathbf{0} \in \mathcal{I}$ .
  - (I2) If  $J \in \mathcal{I}$  and  $I \subseteq J$ , then  $I \in \mathcal{I}$ .
  - (I3) If  $I, J \in \mathcal{I}$  with  $\dim I < \dim J$ , then there is some 1-dimensional subspace  $x \subseteq J$ ,  $x \not\subseteq I$  with  $I + x \in \mathcal{I}$ .

$r(A) =$  dimension of largest independent space contained in  $A$

$\mathcal{I} = \{\text{subspaces whose dimension is equal to their rank}\}$

### Example

Let  $E = \mathbb{F}_2^4$  and  $\mathcal{I} = \left\{ \left\langle \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{pmatrix} \right\rangle \text{ and all its subspaces} \right\}$ .

$\mathcal{I}$  satisfies (I1),(I2),(I3), and  $r$  satisfies (r1),(r2). But:

$$A = \left\langle \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix} \right\rangle \quad B = \left\langle \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \right\rangle$$

Then  $r(A + B) + r(A \cap B) = 2 + 1 > 1 + 1 = r(A) + r(B) !$

Problem:  $(r_1), (r_2), (r_3) \Rightarrow (I_1), (I_2), (I_3)$ ; but not  $\Leftarrow$ .

Solution: find an extra axiom  $(I_4)$  for  $\mathcal{I}$

Lemma

*Loops come in subspaces.*

Corollary

*If an axiom set is invariant under embedding  $E$  in a bigger space, it can not be a full axiom set for  $\mathcal{I}$ .*



## Theorem

A  $q$ -matroid is a pair  $(E, \mathcal{I})$  with

- ▶  $E$  finite dimensional vector space;
- ▶  $\mathcal{I}$  family of subspaces of  $E$ , the independent spaces, with:
  - (I1)  $\mathcal{I} \neq \emptyset$ .
  - (I2) If  $J \in \mathcal{I}$  and  $I \subseteq J$ , then  $I \in \mathcal{I}$ .
  - (I3) If  $I, J \in \mathcal{I}$  with  $\dim I < \dim J$ , then there is some 1-dimensional subspace  $x \subseteq J$ ,  $x \not\subseteq I$  with  $I + x \in \mathcal{I}$ .
  - (I4) Let  $A, B \subseteq E$  and let  $I, J$  be maximal independent subspaces of  $A$  and  $B$ , respectively. Then there is a maximal independent subspace of  $A + B$  that is contained in  $I + J$ .

## Theorem

A  $q$ -matroid is a pair  $(E, \mathcal{B})$  with

- ▶  $E$  finite dimensional vector space;
- ▶  $\mathcal{B}$  family of subspaces of  $E$ , the bases, with:
  - (B1)  $\mathcal{B} \neq \emptyset$
  - (B2) If  $B_1, B_2 \in \mathcal{B}$  and  $B_1 \subseteq B_2$ , then  $B_1 = B_2$ .
  - (B3) If  $B_1, B_2 \in \mathcal{B}$ , then for every codimension 1 subspace  $A$  of  $B_1$  with  $B_1 \cap B_2 \subseteq A$  there is a 1-dimensional subspace  $y$  of  $B_2$  with  $A + y \in \mathcal{B}$ .
  - (B4) Let  $A, B \subseteq E$  and let  $I, J$  be maximal intersections of some bases with  $A$  and  $B$ , respectively. Then there is a maximal intersection of a basis and  $A + B$  that is contained in  $I + J$ .

# Duality

Let  $r^*(A) = \dim A - r(M) + r(A^\perp)$ .

Theorem

$M^* = (E, r^*)$  is a  $q$ -matroid, i.e.,  $r^*$  satisfies (r1),(r2),(r3).

Lemma

$\mathcal{B}(M^*)$  are the orthogonal complements of bases of  $M$ .

# Restriction

Let  $H$  a hyperplane in  $E$  and let  $r_{M|_H}(A) = r_M(A)$ .

## Theorem

$M|_H = (H, r_{M|_H})$  is a  $q$ -matroid, i.e.,  $r_{M|_H}$  satisfies (r1),(r2),(r3).

## Lemma

$\mathcal{I}(M|_H)$  are the independent spaces of  $M$  that are contained in  $H$ .

# Contraction

Let  $e$  1-dim subspace of  $E$ ,

$\pi : E \rightarrow E/e$  projection,

$A$  in  $E/e$  and  $B$  in  $E$  such that  $e \subseteq B$  and  $\pi(B) = A$ .

Let  $r_{M/e}(A) = r_M(B) - 1$ .

Theorem

$M/e = (E/e, r_{M/e})$  is a  $q$ -matroid, i.e.,  $r_{M/e}$  satisfies  $(r1), (r2), (r3)$ .

Lemma

$\mathcal{I}(M/e)$  are the independent spaces of  $M$  that contain  $e$ , projected to  $E/e$ .

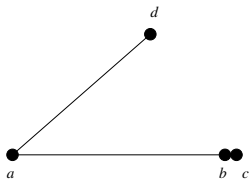
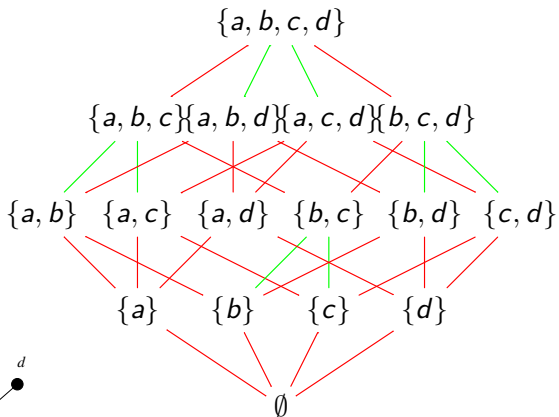
# Restriction, contraction, and duality

## Theorem

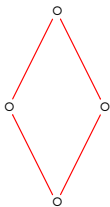
*Restriction and contraction are dual operations:*

$$(M/e)^* = M^*|_{e^\perp} \text{ and } (M|_{e^\perp})^* = M^*/e.$$

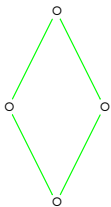
## Example



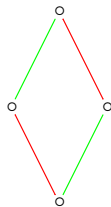
Matriod  $\iff$  only the following diamonds:



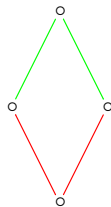
one



zero



mixed

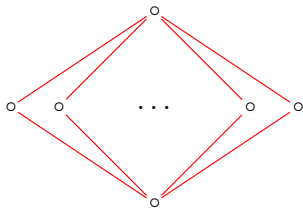


prime

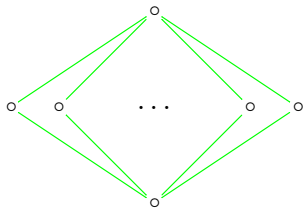
$q$ -analogue: change Boolean lattice to subspace lattice  
(or another complemented modular lattice)



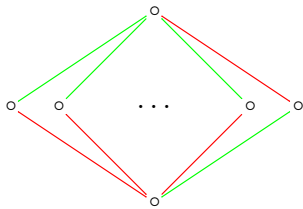
$q$ -Matriod  $\iff$  only the following “diamonds”:



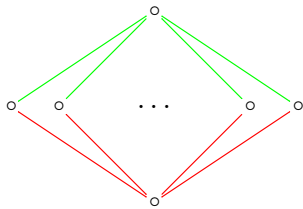
one



zero

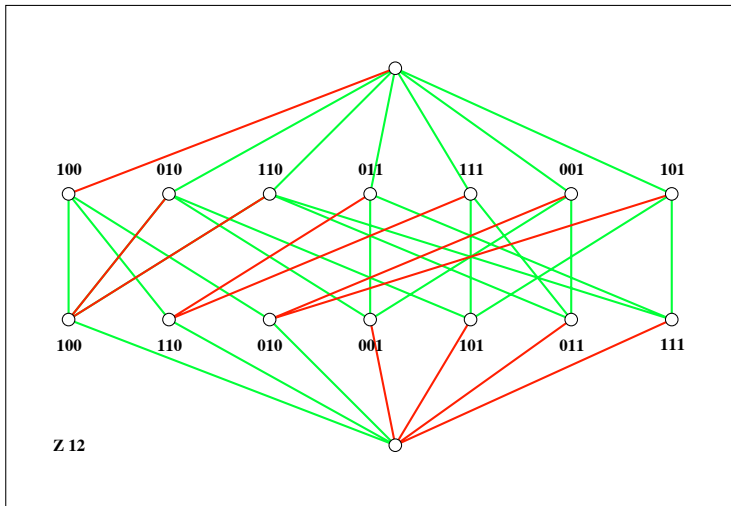


mixed

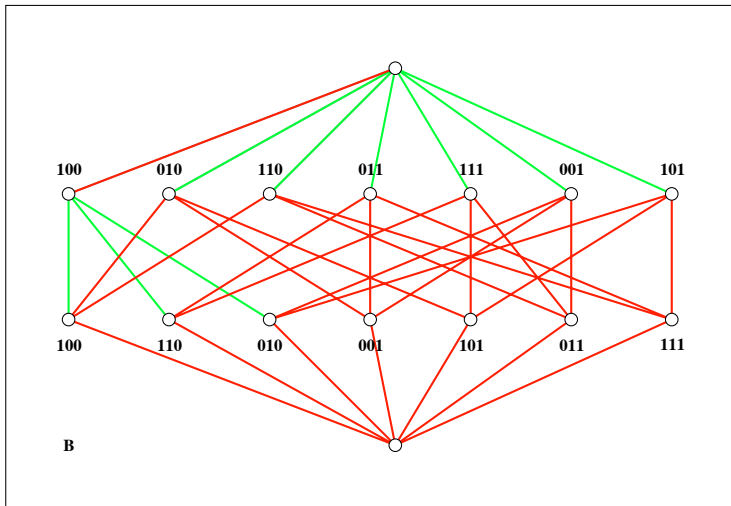


prime

# Example



# Example



Rank generating polynomial:

$$R(x, y) = \sum_{A \subseteq E} x^{r(M) - r(A)} y^{\dim(A) - r(A)}$$

Tutte polynomial:

**classical:**  $x \rightarrow x - 1, y \rightarrow y - 1$

**q:** something similar but with powers of  $q$  ??

Original Tutte polynomial:

$$T(x, y) = \sum_{B \in \mathcal{B}} x^{i(B)} y^{e(B)}$$

Internal/external activity uses ordering on elements of the matroid.

Ordering on 1-dimensional subspaces ??

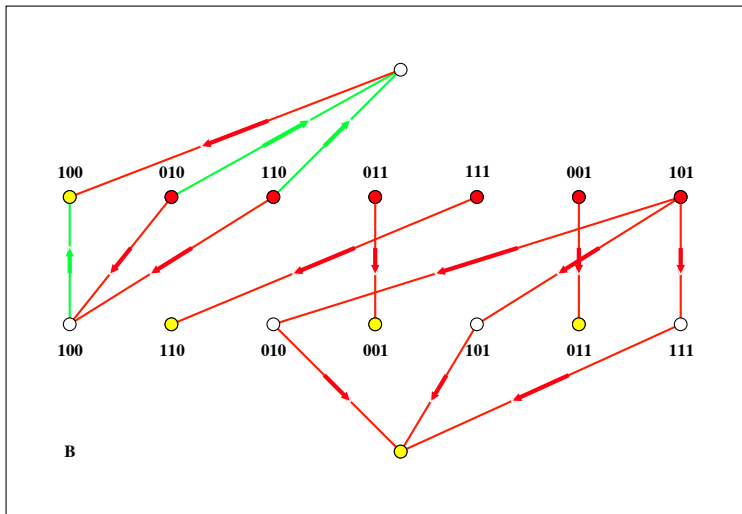
Internal/external activity induces partition of lattice in prime-free minors; that gives the Tutte polynomial.

**classical**: every part contains a basis

$q$ : several bases per part, what is the right partition?

So the  $q$ -Tutte polynomial is a sum over parts of the partition: exponents of  $x$  and  $y$  depend on rank/nullity of the parts.

# Example



$$T(x, y) = x^2 + xy + 3x$$

# What's next?

Work in progress:

- ▶  $q$ -analogue of Tutte polynomial
- ▶ Link with rank weight enumerator
- ▶ Do all  $q$ -matroids come from rank metric codes? How?

Long term:

- ▶ More cryptomorphic descriptions (circuits, flats, closure, . . .)
- ▶ Rank metric codes that are not  $\mathbb{F}_{q^m}$ -linear
- ▶ Puncturing and shortening of rank metric codes vs. restriction and contraction of  $q$ -matroids?
- ▶ Link with other  $q$ -analogues?